

Enclosure (A)

Activity wise list for Risk Management Activities planned as part of Empanelment Exercise

Risk Activity	Risk Categorisation	Description
Credit Risk Rating Models	Credit Risk	Model development & fine tuning, Model Testing, Model Validation (including adequacy of current / industry parameters) for Obligor and Facility / Exposure rating (for Refinance and Direct Finance models), ratings for collateral quality and factoring of risk in pricing. Category of clients may include Banks (Scheduled and Non-scheduled), Financial Institutions, State Governments, State Government Agencies, NBFCs, NBFC-mFIs, RRBs, Cooperative institutions viz. StCB, DCCB, SCARDB, Federations, Food parks, Cold Storages, other infrastructure entities / projects.
Investment Rating Models	Credit Risk/Market Risk	Model Review, Model validation for Instrument Rating Models for market instruments like NCD, CP, Mutual Funds. The models should have components for default (Credit Risk) and Pricing Yields (Market Risk) analysis.

Enclosure (B)

Basic Information

A.General Information

1. Name of the applicant organization/ / service providers
2. Address for communication and contact details
3. Telephone number (landline)
4. Telephone number (mobile)
5. Type of the organization (whether Partnership, Limited Liability Partnership, private limited or limited company or cooperative society, etc.)
6. Name of the proprietor/partners or directors in the organisation

7. Details of Registration – (whether partnership firm, company, society, etc.) Registering Authority, Date, Registration No., etc., mentioning the business/activity of the firm
(A copy to be enclosed)
8. Whether empanelled with Government/ Semi Government/Banks/ Financial Institutions (FIs) or any other organization and if so, give the details of the same and nature of contract.
9. Number of years of experience in the field/ trade applied for _____Years (give separate for each trade). A list of important assignments may be indicated for the same along with supporting documents.
10. Have you in the past carried out any works for NABARD? If yes, give details.
11. Address of Mumbai Office through which the proposed work will be handled. The name, designation and contact details of the officer in charge.

B. Financial Information

- 12 Permanent Account Number (PAN) of the partnership firm / limited liability partnership/ private limited company/ limited company/ cooperative society (Copy of PAN to be attached)
- 13 GST No. (enclose copies of relevant documents)
- 14 Balance sheet and profit & loss statement for the previous three years, duly certified by a practising Chartered Accountant in support of Average Annual Turnover OR Banker's Solvency Certificate in proof of having adequate financial standing.
- 15 Annual turnover & Net Profit during the last three years

2019-20 (₹)

2020-21 (₹)

2021-22 (₹)

- 16 Information on staff as indicated in the General Terms and Conditions
- 17 Information on past experience with other organisations as indicated in General Terms and Conditions
- 18 Indicate if involved in any litigation at present in similar type of contracts (for the organisation and directors separately)
- 19 Any civil suit arisen in the works executed, if any, please given brief details
- 20 Number of supplementary sheets attached to **Enclosure (B)**

Enclosure (C)

(To be submitted on Vendor's own Letterhead)

No.

Date :

Chief General Manager

NABARD Head Office,
RMD, Third floor, 'C' Wing
Plot No.C-24, G Block,
Bandra-Kurla Complex, Bandra (East)
Mumbai – 400 051

Dear Sir,

Empanelment of service providers with NABARD for Consultancy, Risk Rating Modelling, Validation Software, Digitalization of Risk Management Systems and Services for various Risk Management Activities for Risk management Department, NABARD Head Office, Mumbai - “ _____ ” (write name of the trade(s) & SN of Annexure number under which the applicant wants to be empanelled)

1. With reference to your advertisement in the Newspaper on ____ 2023 for the Empanelment of service providers, I am / We are pleased to offer myself / ourselves to be empaneled under “ _____ ” (write name of the trade(s) under which the applicant wants to be empaneled) trade, Category _____, in your organization.

2. I am / We are already registered with “ ” (write the name of Govt./ Semi Govt./ Govt. Undertakings / Banks / FIs with which the Applicant is registered) under class/category _____. All the other desired information, documents and certificates as required by you, are enclosed herewith in the prescribed proforma for your perusal.

3. I/We have read and understood the EoI /Empanelment Notice and Instructions appearing in the application format and I/We understand that if any false information is detected at a later stage, any future contract made between me/ ourselves and NABARD, on the basis of the information given by me/us, will be treated as invalid by NABARD.

4. I/We agree that the decision of NABARD, Head Office, Mumbai in selection of the service providers will be final and binding on me/us.

5. All the information furnished in this application as also under **Enclosures (A), (B) & (D)** is correct to the best of my/our knowledge.

6. I/We also agree that I/We have no objection if enquiries are made about the works listed by me/us in the accompanying sheets or any other inquiry on the information furnished herewith in the accompanying sheets.

7. I / We, therefore, request you to kindly do the needful to empanel me/ us under “_____” (write name of the trade/s under which the applicant wants to be empanelled) trade/s / category.

Thanking you

Yours faithfully

**(Signature of Authorized person on behalf
of the Firm / Agency / Contractor)**

Enclosure (D)

Details of Bank Account

S N	Description	
1.	Name of the Vendor/Firm	
2.	Name of the Account Holder	
3.	Address of the Vendor/Firm	
4.	Name of the Bank, Branch and Address	
5.	Bank Code and Branch Code	
6.	IFS Code of the Bank Branch	
7.	Type of Account (Saving/Current/Cash Credit)	
8.	Account Number	

Note : A copy of cancelled cheque in respect of the above account which is operated by the _____ must be enclosed.